

JUL-05-00 WED 07:55 AM

ICEVIC FIELD&amp;FRANCIS

FAX NO: 650 327+3231

P. 03

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

THUR-001

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**USE OF SERINE PROTEASE INHIBITORS TO INHIBIT PATHOPHYSIOLOGY AND NEUROPATHOLOGY IN A HOST**

the specification of which (check only one item below):

☐ is attached hereto,

☐ was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT International application

Number PCT/US99/00465

on 08 January 1999

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
US	60/070,730	08 January 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

**Combined Declaration For Patent Application and Power of Attorney (Continued)**  
 (Inclusive Reference to PCT International Applications)

 ATTORNEY'S DOCKET NUMBER  
**THUR-001**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT International application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(ies) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Karl Bozicevic, Reg. No. 28,807  
 Bret E. Field, Reg. No. 37,820  
 Darina L. DeVore, Reg. No. 42,484  
 Alan W. Cannon, Reg. No. 34,977

Carol L. Francis, Reg. No. 36,513  
 Pamela J. Sherwood, Reg. No. 36,672  
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Name: Bret E. Field  
 Registration No. 37,820  
 Telephone: (650) 327-3400

201	FULL NAME OF INVENTOR	FAMILY NAME <u>HOFFMAN</u>	FIRST GIVEN NAME <u>KEITH</u>	SECOND GIVEN NAME <u>B.</u>
	RESIDENCE & CITIZENSHIP	CITY <u>SAN DIEGO</u>	STATE OR FOREIGN COUNTRY <u>CALIFORNIA</u>	COUNTRY OF CITIZENSHIP <u>US</u>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>5110 SAN AQUINO DRIVE</u>	CITY <u>SAN DIEGO</u>	STATE & ZIP CODE/COUNTRY <u>CALIFORNIA 92108/US</u>
202	FULL NAME OF INVENTOR	FAMILY NAME <u>LYNCH</u>	FIRST GIVEN NAME <u>GARY</u>	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY <u>IRVINE</u>	STATE OR FOREIGN COUNTRY <u>CALIFORNIA</u>	COUNTRY OF CITIZENSHIP <u>US</u>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>4 GIBBS COURT</u>	CITY <u>IRVINE</u>	STATE & ZIP CODE/COUNTRY <u>CALIFORNIA 92717/US</u>
R3	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

SIGNATURE OF INVENTOR 202

SIGNATURE OF INVENTOR R3

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P. 05

**STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27 (c))  
SMALL BUSINESS CONCERN**

Attorney Docket	THUR-001
First Named Inventor	Keith Hoffman
Application Number	unassigned
Filing Date	hcrewith
Title	Use of Serine Protease Inhibitors to Inhibit Pathophysiology and Neuropathology in a Host

I hereby state that I am:

- ☐ the owner of the small business concern identified below;  
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF SMALL BUSINESS CONCERN:

THURIS CORPORATION

ADDRESS OF SMALL BUSINESS CONCERN:

620 Newport Center Drive, Suite 1100

Newport Beach, California 92660-9266 (KPH)

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or by a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

NAME:

ADDRESS:

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE

DATE: 7-5-2000

NAME OF PERSON SIGNING: KEITH B. HOFFMAN

TITLE IN ORGANIZATION OF PERSON SIGNING: VICE PRESIDENT

ADDRESS OF PERSON SIGNING: THURIS CORPORATION, 620 NEWPORT CENTER DRIVE, SUITE 1100, NEWPORT BEACH, CA 92660